

Biologics through Chemistry: Total Synthesis of a Proposed Dual-Acting Vaccine Targeting Ovarian Cancer by Orchestration of Oligosaccharide and Polypeptide Domains

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Abstract: Carbohydrate and peptide-based antitumor vaccine constructs featuring clusters of both tumor associated carbohydrate antigens and mucin-like peptide epitopes have been designed, synthesized, and studied. The mucin-based epitopes are included to act, potentially, as T-cell epitopes in order to provoke a strong immune response. Hopefully the vaccine will simulate cell surface architecture, thereby provoking levels of immunity against cancer cell types displaying such characteristics. With this central idea in mind, we designed a new vaccine type against ovarian cancer. Following advances in glycohistology, our design is based on clusters of Gb₃ antigen and also incorporates a MUC5AC peptide epitope. The vaccine is among the most complex targeted constructs to be assembled by chemical synthesis to date. The strategy for the synthesis employed a Gb₃-MUC5AC thioester cassette as a key building block. Syntheses of both nonconjugate and KLH-conjugated vaccines constructs have been accomplished.

Introduction

In the quest to develop effective vaccines to combat cancer, tumor immunologists seek to identify the characteristic phenotypes which differentiate tumor cells from normal cells. In this vein, it has been noted that malignantly transformed cells often display aberrant levels and patterns of cell surface glycosylation.¹ Presumably, it should be possible to exploit these distinguishing features by designing vaccine constructs which incorporate these tumor-associated carbohydrate domains. Such constructs, if properly presented to the immune system, could stimulate the formation of antibodies which would selectively bind and eradicate tumor cells overexpressing the carbohydrate epitopes at issue. Particularly impressive progress in this area of anticancer vaccines has been achieved by Boons,² Kunz,³ Schmidt,⁴ and their associates.

Over the past two decades, our laboratory has been engaged in the design and de novo synthesis of complex oligosaccharides and glycoconjugates, with an eye toward developing increasingly potent and versatile vaccines.⁵ Our emphasis has been on the development of immunostimulating strategies allowing for enhanced protection against tumor recurrence and metastasis following resection of tumor burden through surgery, radiation, or chemotherapeutic treatment.

Our initial studies focused on the preparation of constructs, in which a *single* carbohydrate antigen is attached to an immunogenic carrier molecule, such as KLH (Keyhole Limpet Hemocyanin) (Figure 1).⁶ These monovalent vaccines—which include Globo-H, fucosyl GM₁, and Lewis^y (Le^y)—have shown varying degrees of promise in early clinical settings. In our second-generation studies, we are turning our attention to the preparation and evaluation of more elaborate constructs, in which multiple repeats, or “clusters,” of a carbohydrate epitope are presented on a peptide backbone. The design of these constructs was inspired by findings from the field of glycohistology which demonstrate that mucins—a family of glycoproteins overexpressed on tumor cell surfaces—often present clusters of two to five adjacent carbohydrate domains.⁷ The hope is that

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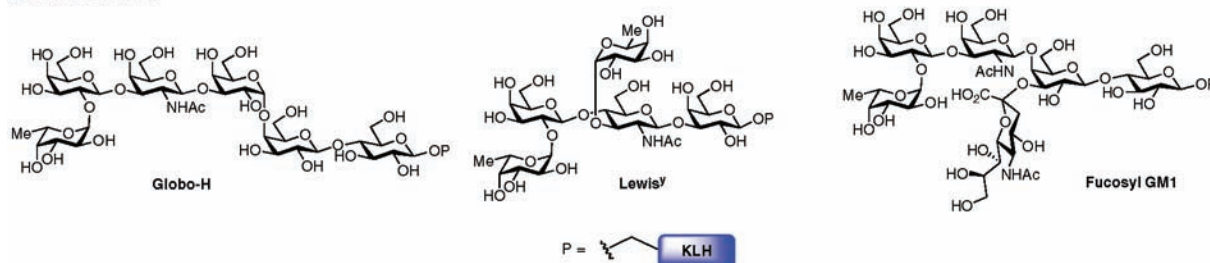
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Monomeric Vaccines:



Clustered Vaccines:



Unimolecular Pentavalent Vaccine:

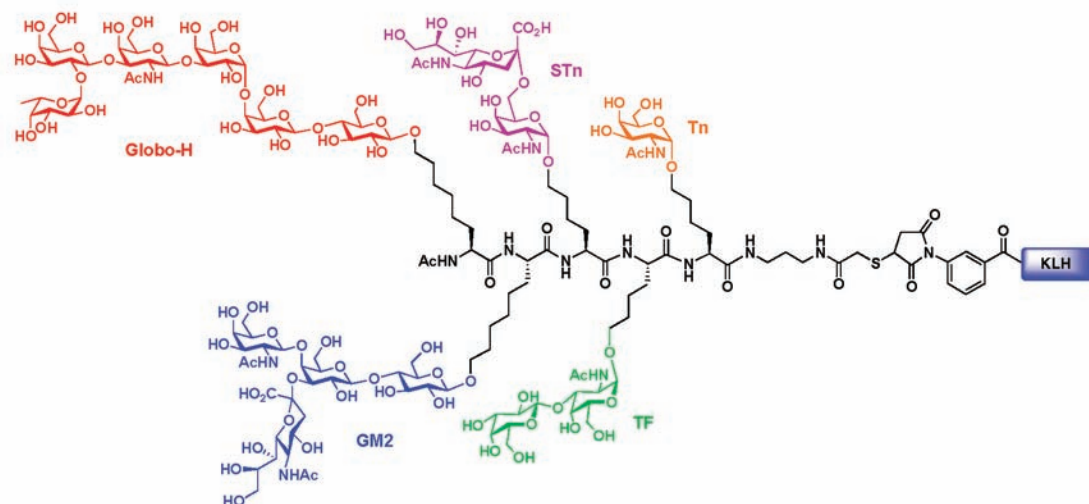


Figure 1. Representative previously developed vaccine structures.

vaccines designed on the basis of these “clustered” antigens would better mimic the surfaces of targeted tumor cells. In this phase of our program, we prepared a number of clustered vaccine constructs, such as Tn(c), TF(c), and STn(c), each of which performed as hoped in preclinical studies. For instance, in a phase I clinical trial against prostate cancer, the Tn(c)–KLH conjugate has produced positive serological results.⁸ These earlier vaccine constructs did not take full account of the

multiplicity of carbohydrate epitopes overexpressed within a particular cancer type. Thus, even within the lifetime of a single tumor cell, there is a significant amount of heterogeneity of tumor cell surface carbohydrate expression.⁹ In order to achieve potency of a broader base, a carbohydrate-based antitumor vaccine should incorporate multiple antigenic components.

Toward this end, we have synthesized a number of unimolecular multiantigenic vaccine constructs, such as the one shown in Figure 1, which contains five different carbohydrate antigens: Globo-H, GM2, STn, TF, and Tn.¹⁰ Preclinical biological studies have demonstrated that the unimolecular pentavalent vaccine—

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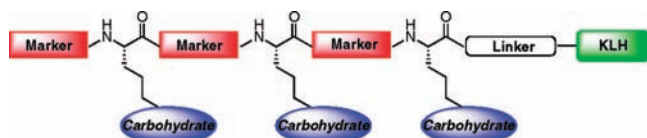


Figure 2. Proposal for a novel carbohydrate–peptide-based vaccine.

KLH conjugate is well tolerated and induces promising IgG and IgM responses against the target carbohydrate epitopes. Trials evaluating the clinical impact of these multiantigenic vaccines in the adjuvant setting are expected to commence in the near future.¹¹

It will be noted that both the clustered and multiantigenic vaccine constructs consist of a number of carbohydrate domains presented along a peptide backbone. In the evolution of our vaccine design, we began by considering the possibility that the peptide backbone might also provide for additional antigenic markers, beyond its role as a linker to carrier protein. In this regard, we took specific note of the mucin family of O-linked glycoproteins.¹² As noted above, the mucins, which carry highly clustered glycodomains on adjacent serine and threonine residues, are overexpressed on a variety of tumor cell surfaces. Numerous mucin types have been identified and correlated with tumor types.¹³ For example, MUC1 expression for this section is most intense in cancers of breast, lung, ovarian, and endometrial origin; MUC2 is overexpressed in cancers of colon and prostate origin; MUC5AC is associated with breast and gastric cancers; MUC4 was found to be highly expressed in 50% of cancers of colon and pancreas origin; and MUC3, MUC5B, and MUC7 are overexpressed in a variety of epithelial cancers, though not intensely so. It has been theorized that these mucins may potentially serve as CD8+ cytotoxic T-cell and CD4+ helper T-cell epitopes.¹⁴ MUC1 has also previously been used as a B-cell epitope for generating anti-MUC1 antibodies.^{2a,3,15}

On the basis of these observations, we have designed a new type of antitumor vaccine structure featuring *both* a carbohydrate-based antigen and a mucin-derived peptide-based marker in an alternating pattern (Figure 2). This design seeks to mimic the molecular architecture on tumor cell surfaces, thus provoking a more robust immune response. In these clustered carbohydrate–peptide antigenic constructs, either *repeats of the same carbohydrate antigen* or *a combination of diverse carbohydrate antigens* associated with a particular carcinoma can be incorporated. We envision that this type of vaccine structure has two potential advantages. First, a mucin-derived peptide fragment is incorporated as both a linker and a marker, which may behave not only as a B-cell epitope for the production of antibodies against mucins, but also as a helper T-cell epitope to activate

T-cells. Furthermore, the tandem repeats of both the carbohydrate-based antigen and the peptide-based epitope are anticipated to expose these B-cell and helper T-cell epitopes to the maximum extent on the surface of the carrier protein (KLH). Hopefully, this feature will prove to be quite important in stimulating a strong immune response, as our previous immunogenic studies in related design have demonstrated that clustered monomeric antigenic peptide did elicit substantial IgG and IgM antibody titers.⁸ Finally, vaccines composed of numerous carbohydrate antigens associated with a specific cancer type may provide a heightened and more varied responses, thereby increasing the efficiency of binding to the target cells. It is envisioned that success in this design and synthesis would pave the way for the preparation of more complex vaccine structures which mimic the natural cell surface.

Ovarian cancer is the fifth leading cause of cancer deaths in women and the leading cause of death from gynecological malignancies.¹⁶ A number of carbohydrates have been found to be overexpressed on ovarian tumor cell surfaces, including Le^y,¹⁷ STn,¹⁸ Globo-H,¹⁹ and Gb₃ (globotriaosyl ceramide, cf. 2, Figure 3).²⁰ Also found on ovarian cancer cell surfaces are the mucin antigens, MUC1 (vide supra), MUC5AC (cf. 3),²¹ and MUC16 (CA125 antigen).²² Structurally, MUC1 and MUC5AC consist of tandem repeats of a 20-amino acid sequence (VTSAPDTRPAPGSTAPPAHG) and an 8-amino acid sequence (TTSTTSAP), which are potentially responsible for the activation of T-cells. In the hope of exploring the promise of a chimeric vaccine construct, composed of alternating immunogenic carbohydrate and peptide domains, we have designed a vaccine which incorporates alternating repeats of the Gb₃ antigen and the MUC5AC-based peptide marker (1, Figure 3). Due to the fact that Gb₃ exists as a ceramide form, we decided to prepare Gb₃ glycosylamino acid using non-natural extended hydroxynorleucine linker in the hopes of mimicking the ceramide chain. In addition, our previous experience demonstrated that these non-natural linkages avoid problems associated with the instability of the O-glycosyl serine²³ and are able to simulate the activity of their native counterparts.¹⁰ Glycosylamino acids bearing non-natural linkers may be more immunogenic because they are potentially more recognizable as “nonself” by the immune system.²⁴

Our initial program for the total synthesis of construct 1 required the assembly of three repeats of both the protected Gb₃ glycosylamino acid and the MUC5AC peptide C-terminal thioester, which would then be iteratively coupled to form the fully glycosylated polypeptide backbone, in analogy to our

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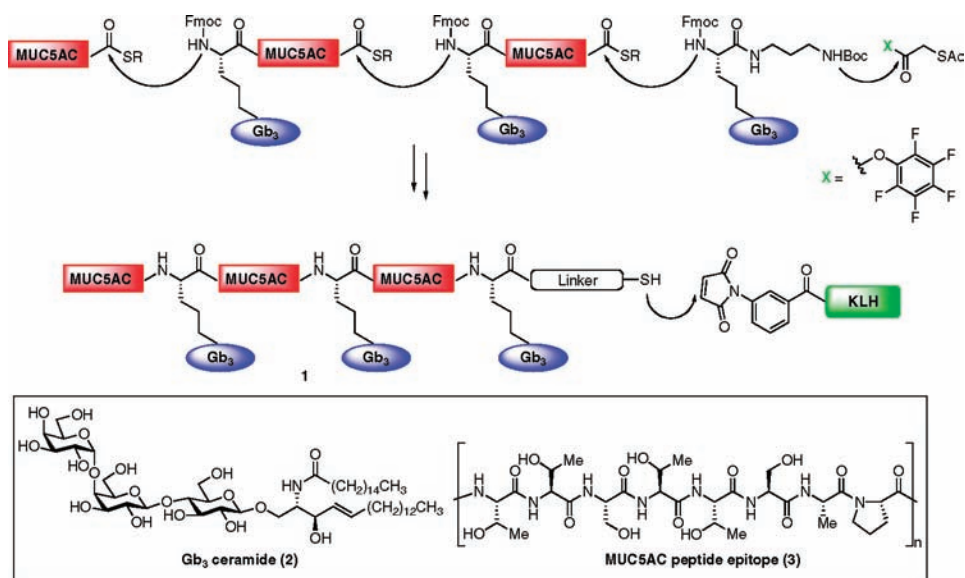
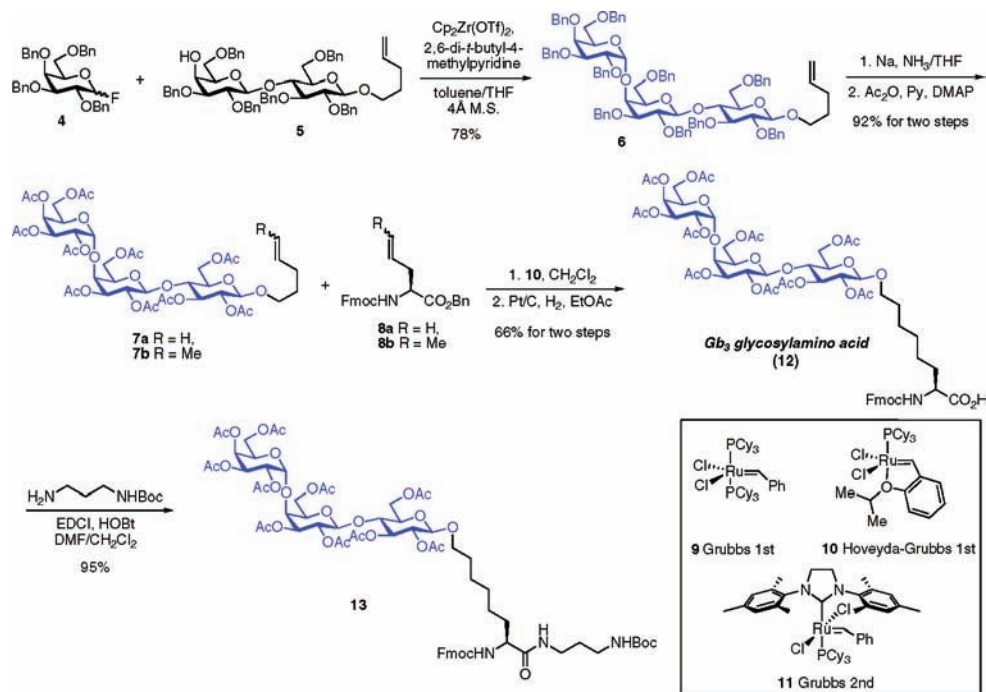


Figure 3. Design and synthetic strategy for a vaccine candidate targeting ovarian cancer (**1**).

Scheme 1. Improved Synthesis of Gb₃ Glycosylamino Acid



synthesis of unimolecular polyantigenic vaccine constructs.¹⁰ We have further refined our synthetic approach by preparing a Gb₃–MUC5AC thioester cassette, to be employed as a key building block (Figure 3). We elected to block the N-termini of the cassettes with fluorenylmethyl carbonate (Fmoc) protecting groups, so that the coupling sequence would consist of iterative peptide couplings following deprotection of the N-termini. The Gb₃ glycosylamino acid would ultimately be linked to the carrier protein (KLH) via a Boc-protected diaminopropyl unit.¹⁰

The synthesis of the Gb₃ glycosylamino acid **12** commenced with glycosylation of fluoro-donor **4**²⁵ with disaccharide acceptor **5**, under conditions previously developed in our group,²⁶ to afford the desired perbenzylated trisaccharide **6** in 78% isolated

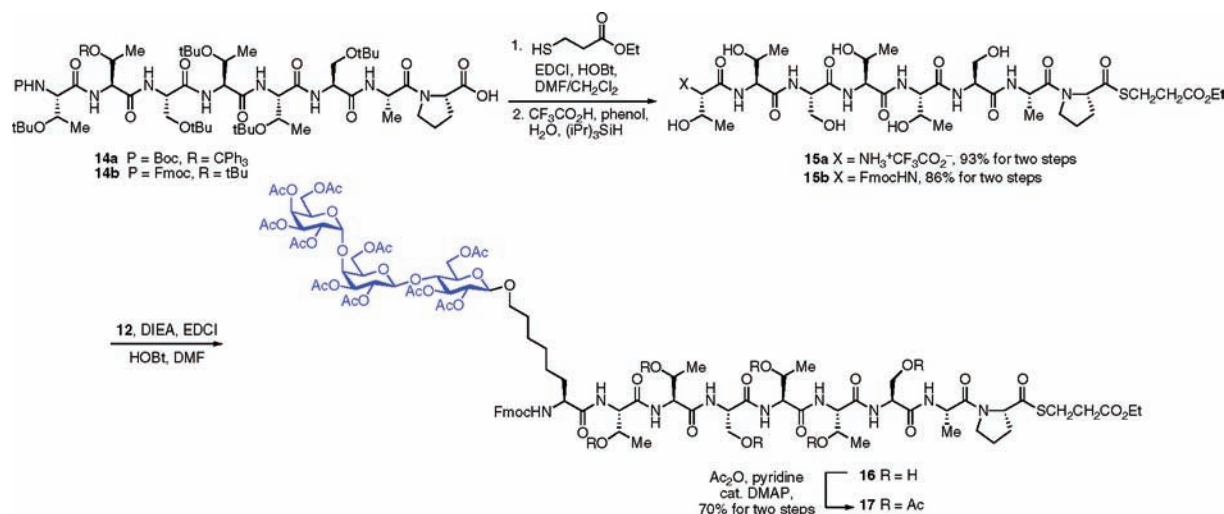
yield (Scheme 1). Dissolving metal reduction of **6** followed by peracetylation afforded **7a** (92%, two steps).

In an earlier disclosure in a related context,²⁷ we had noted that, in the presence of Grubbs second generation catalyst (**11**), the direct cross-metathesis of the terminal olefins of **7a** and **8a**

(25) Fluoro donor **4** was prepared in 81% yield ($\alpha/\beta = 1:1.4$) by treatment of commercial available 2,3,4,6-tetra-*O*-benzyl-D-galactopyranose with DAST (diethylaminosulfur trifluoride) in THF. For a representative example of synthesis of fluoro donor **4**, see: (a) Nicolaou, K. C.; Caulfield, T.; Kataoka, H.; Kumazawa, T. *J. Am. Chem. Soc.* **1988**, *110*, 7910–7912.

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Scheme 2. Synthesis of Gb₃–MUC5AC Cassette (17)

had been plagued by the formation of significant quantities of a truncated side product. To circumvent this complication, we had prepared compounds **7b** and **8b** through cross-metathesis of **7a** and **8a** with *trans*-2-butene, in the presence of catalyst **9**. These modified substrates underwent cross-metathesis in the presence of catalyst **11**.²⁷ It was found that direct olefin cross-metathesis of **7a** and **8a** can in fact be effectively accomplished through the use of the Grubbs–Hoveyda first-generation catalyst (**10**),²⁸ to provide the desired adduct, accompanied by only trace amounts of the truncated side product.²⁹ Hydrogenolysis, using Pt/C under a hydrogen atmosphere, provided the Gb₃ glycosylamino acid **12** in 66% yield over two steps. The latter was further coupled with *tert*-butyl-*N*-(3-aminopropyl)carbamate to provide **13**, incorporating the C-terminal partial linker for eventual conjugation to the carrier protein (Scheme 1).

Next, peptides **14a** and **14b** were prepared through Fmoc solid-phase synthesis using Novabiochem proline–TGT resin. Installation of a C-terminal thioester on both **14a** and **14b**, followed by standard side-chain deprotection afforded **15a** and **15b** in 93% and 86% yield over two steps, respectively. Compound **15b** was to be a key intermediate for later stage fragment assembly, because the N-terminal Fmoc can be selectively removed in the presence of the N-Boc functionality. Our initial attempts at Fmoc deprotection of **15b** afforded the desired free amine, together with significant amounts of the corresponding diketopiperazine. This side reaction presented difficulties in attempts at subsequent separation. We thus prepared compound **15a** for coupling with Gb₃ glycosylamino acid **12**. Standard coupling of **15a** with Gb₃ glycosylamino acid **12** using EDCI/HOBt afforded compound **16**, which was subsequently subjected to peracetylation to furnish the Gb₃–MUC5AC cassette **17** (70%, two steps). The acetate-protection step facilitated isolation of the product. It will be noted that, in our peptide design, we chose to incorporate an activated L-proline thioester at the C-terminus of the peptide fragment due to the rather nonracemizable nature of its α-stereocenter. This feature could prove crucial in the subsequent cassette assembly stage.

We were then able to devise a slightly modified procedure for Fmoc deprotection, using the relatively volatile diethylamine

as a solvent, in lieu of piperidine in DMF (Scheme 3). With this modification, we needed only to remove the volatile reagents and solvents following Fmoc cleavage. The crude free amine thus exposed would be used in the next coupling step without further purification. In the event, Fmoc deprotection of the N-terminus of compound **13** afforded the desired free amine, which was subjected to peptide coupling with Gb₃–MUC5AC thioester cassette **17** under the AgCl/HOObt protocol.³⁰ There was obtained the desired bis-Gb₃–MUC5AC intermediate **18** (70% over two steps). This bis-Gb₃–MUC5AC **18** was subsequently elongated to produce compound **19**, via a two-step sequence involving Fmoc deprotection and subsequent coupling with the Gb₃–MUC5AC thioester cassette **17** (72% over two steps). The next task would be that of installing the third MUC5AC peptidyl fragment. In an effort to facilitate a polarity-based separation of the target tris-Gb₃–tris-MUC5AC glycopeptide (cf. **20**) from other potential side products, we elected to install the final MUC5AC fragment in its deprotected, free hydroxyl form.

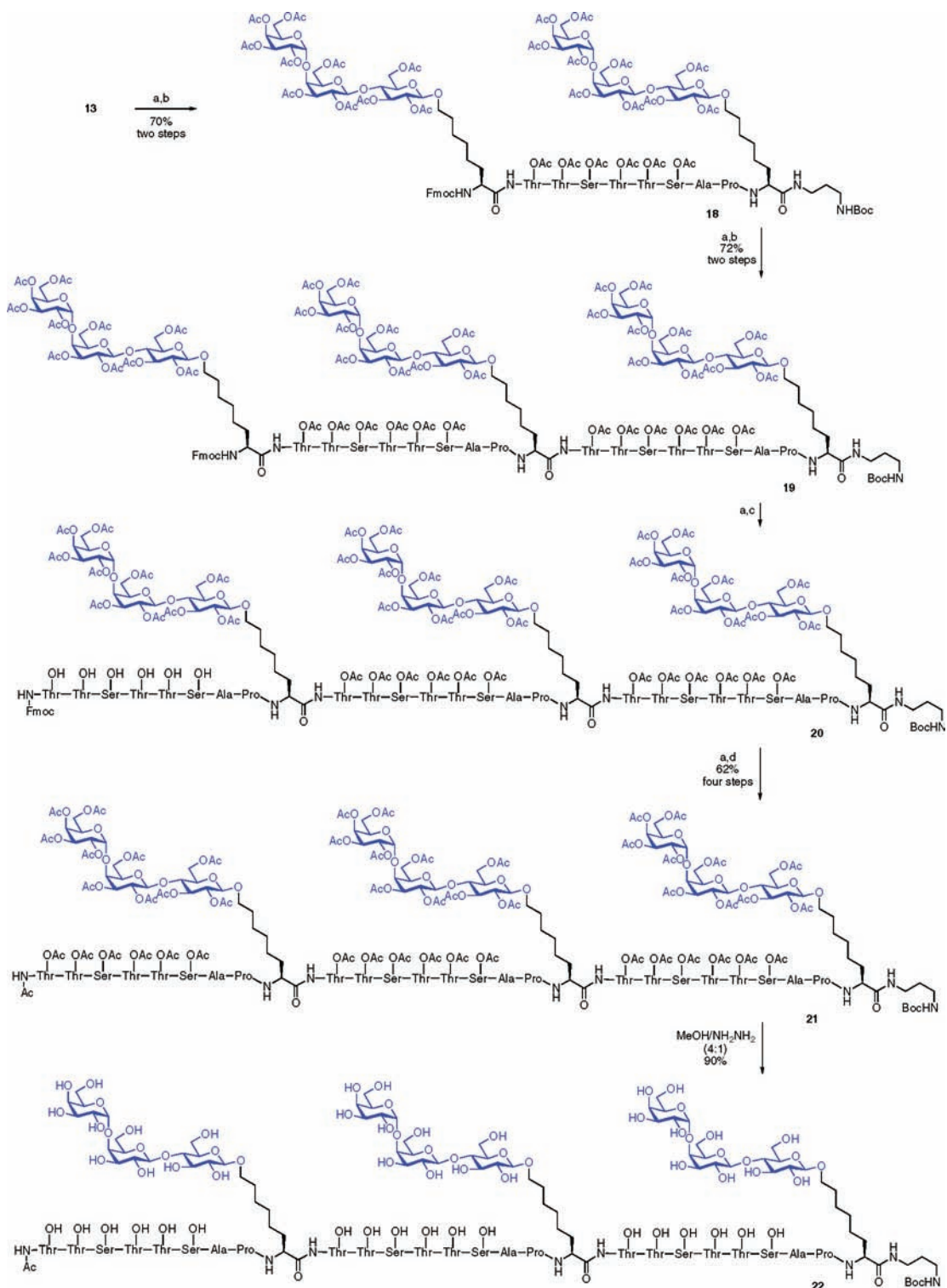
Thus, as outlined in Scheme 3, Fmoc cleavage of tris-Gb₃–bis-MUC5AC compound **19**, followed by coupling with the deprotected MUC5AC thioester, **15b**, afforded the desired tris-Gb₃–tris-MUC5AC adduct, **20**. As expected, glycopeptide **20** was readily separated from other side products. Next, N-terminal Fmoc cleavage followed by peracetylation furnished the desired clustered Gb₃–MUC5AC construct **21** (62% over four steps). Thus, through the use of the Gb₃–MUC5AC thioester cassette **17**, we were indeed able to assemble, in a convergent manner, ample quantities of the clustered vaccine construct **21**. Global deprotection of **21** using NH₂NH₂/MeOH (1:4, v/v) afforded the target fully synthetic clustered Gb₃–MUC5AC construct **22** (90%). Biological evaluations of conjugate **22** are expected in the near future.

The final objective would be that of installing an appropriate handle for conjugation to the KLH carrier protein. Toward this end, **21** was treated with trifluoroacetic acid in dichloromethane to cleave the Boc carbamate functionality. Next, direct amidation with activated *S*-acetylthioglycolic acid pentafluorophenyl ester (SAMA-OPfp), provided **23** in 66% yield over two steps.¹⁰ Final global deprotection of **23** using NH₂NH₂/MeOH (1:4, v/v)³¹ afforded the desired construct **1** together with some of the

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Scheme 3. Synthesis of Construct 22^a

^a (a) 5% Et₂NH in DMF; (b) **17**, AgCl, HOObt, ^tPr₂NEt, DMSO; (c) **15b**, AgCl, HOObt, ^tPr₂NEt, DMSO; (d) Ac₂O, cat. DMAP, pyridine.

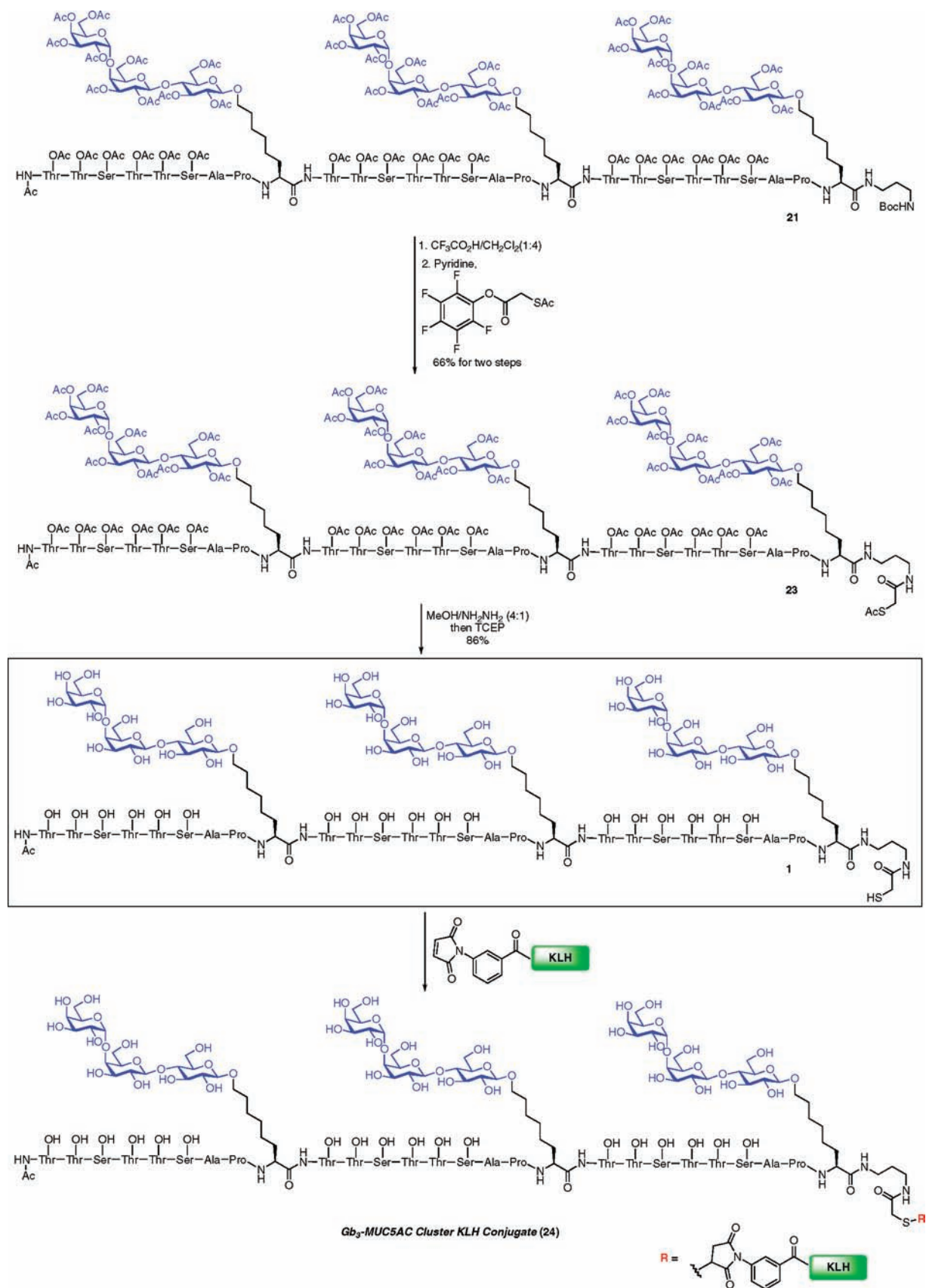
corresponding dimer, presumably arising from disulfide formation. This mixture was then subjected to reduction with tris(2-carboxyethyl)phosphine (TCEP) to provide vaccine construct **1** (86% yield).

The corresponding KLH conjugate **24** was prepared via **1** in two steps. The first involved activation of the carrier protein

KLH with sulfo-MBS (*m*-maleimidobenzoyl-*N*-hydroxysuccinimide). This was followed by subsequent addition of the terminating thiol on the glycopeptide **1** (in a presumed Michael fashion) to the maleimide olefin center of the activated carrier protein (Scheme 4).³² The ratio of glycopeptide-to-protein for KLH conjugate **24**, as determined by hydrolytic carbohydrate

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Scheme 4. Synthesis of Vaccine Construct **1** and KLH Conjugate **24**

analysis³³ and standard protein analysis (Bio-Rad dye-binding method) was ca. 698:1. This gratifyingly high ratio of construct incorporation into the carrier presumably reflects the steric

accessibility of the linking thiol function in **1**, as well as improved conjugation techniques. This phase of the synthesis is summarized in Schemes 3 and 4.

In conclusion, we have designed and synthesized a vaccine construct targeting ovarian carcinoma, which consists of clusters of Gb₃ carbohydrate antigen and MUC5AC peptide marker. The efficient synthesis was enabled by the preparation of a Gb₃-MUC5AC thioester cassette as a key building block for constructing three alternating repeats of Gb₃ and MUC5AC. Both nonconjugate and KLH-conjugate vaccine candidates have been prepared and the results of immunological evaluations will be forthcoming.

We note in passing that the capacity to build homogeneous structures such as **24** and **1** in a laboratory is convincing testimony of the awesome power of chemical synthesis. Clearly no such specified structures are available through strictly biological means. Total chemical synthesis can now be employed to enhance the performance of molecules (such as vaccines!) which had hitherto been seen as “biologics”.³⁴ The

accessibility of “biologics” to the systematics of SAR-based medicinal chemistry is indeed an exciting prospect.

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Supporting Information Available: Experimental procedures, NMR spectra, and characterization for new compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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